

# ON TIME-FRACTIONAL DIFFUSION EQUATIONS WITH SPACE-DEPENDENT VARIABLE ORDER

YAVAR KIAN\*, ERIC SOCCORSI\* AND MASAHIRO YAMAMOTO†

ABSTRACT. This paper deals with mathematical problems related to space-dependent anomalous diffusion processes. Namely, we investigate diffusion equations with time-fractional derivatives of space-dependent variable order. We establish that variable order time-fractional Cauchy problems admit a unique weak solution and prove that the space-dependent variable order coefficient is uniquely determined by the knowledge of a suitable time-sequence of partial Dirichlet-to-Neumann maps.

## 1. INTRODUCTION

**1.1. Statement of the problem.** Let  $\Omega$  be a bounded domain of  $\mathbb{R}^d$ ,  $d \geq 2$ , with Lipschitz continuous boundary  $\partial\Omega$ , and let  $(a_{i,j})_{1 \leq i,j \leq d} \in L^\infty(\Omega; \mathbb{R}^{d^2})$  be symmetric, i.e., fulfill  $a_{i,j} = a_{j,i}$  a.e. in  $\Omega$ , for  $i, j = 1, \dots, d$ , and satisfy the ellipticity condition

$$\exists c > 0, \quad \sum_{i,j=1}^d a_{i,j}(x) \xi_i \xi_j \geq c |\xi|^2, \quad x \in \Omega, \quad \xi = (\xi_1, \dots, \xi_d) \in \mathbb{R}^d. \quad (1.1)$$

For  $\kappa \in (d, +\infty]$  and  $q \in L^\kappa(\Omega)$ , such that

$$q(x) \geq 0, \quad x \in \Omega, \quad (1.2)$$

we introduce the formal differential operators

$$\mathcal{A}_0 u(x) = - \sum_{i,j=1}^d \partial_{x_i} (a_{i,j}(x) \partial_{x_j} u(x)) \quad \text{and} \quad \mathcal{A}_q u(x) := \mathcal{A}_0 u(x) + q(x)u(x), \quad x \in \Omega,$$

where we set  $\partial_{x_i} = \frac{\partial}{\partial x_i}$ ,  $i = 1, \dots, d$ .

Given  $T \in (0, +\infty]$  and two functions  $\alpha \in L^\infty(\Omega)$  and  $\rho \in L^\infty(\Omega)$  satisfying

$$0 < \alpha_0 \leq \alpha(x) \leq \alpha_M < 1 \quad \text{and} \quad 0 < \rho_0 \leq \rho(x) \leq \rho_M < +\infty, \quad x \in \Omega, \quad (1.3)$$

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†Graduate School of Mathematical Sciences, the University of Tokyo, 3-8-1 Komaba, Meguro-ku, Tokyo 153-8914, Japan. E-mail: myama@next.odn.ne.jp

\*Aix Marseille Université, Université de Toulon, CNRS, CPT, Marseille, France.

we consider the initial-boundary value problem for a space-dependent variable order (VO) fractional diffusion equation

$$\begin{cases} (\rho(x)\partial_t^{\alpha(x)} + \mathcal{A}_q)u(t, x) = f(t, x), & (t, x) \in Q := (0, T) \times \Omega, \\ u(t, x) = 0, & (t, x) \in \Sigma := (0, T) \times \partial\Omega, \\ u(0, x) = u_0(x), & x \in \Omega. \end{cases} \quad (1.4)$$

Here and below,  $\partial_t^{\alpha(x)}$  denotes the Caputo fractional derivative of order  $\alpha(x)$  with respect to  $t$ , defined by

$$\partial_t^{\alpha(x)}u(t, x) := \frac{1}{\Gamma(1 - \alpha(x))} \int_0^t (t - s)^{-\alpha(x)} \partial_s u(s, x) ds, \quad (t, x) \in Q,$$

where  $\Gamma$  is the Gamma function.

In this paper, we pursue two goals. The first one is to establish the well-posedness of the initial-boundary value problem (1.4) for a suitable source term  $f$  and initial value  $u_0$  (actually, in what follows we rather focus on the existence and uniqueness issues of a weak solution to (1.4), than on its stability properties with respect to the data, but for the sake of shortness, we may refer by a slight abuse of language to Theorem 1.1 as a well-posedness result). The second one is to analyse the uniqueness in an inverse problem of determining simultaneously the fractional order  $\alpha$  and two coefficients  $\rho$  and  $q$  of the diffusion equation in (1.4) by partial Cauchy data.

**1.2. Physical motivations.** Anomalous diffusion in complex media is a rapidly growing field of academic research with multiple engineering applications in geophysics, environmental science and biology. The diffusion properties of homogeneous media are currently modeled, see e.g., [1, 6], by constant order (CO) time-fractional diffusion processes where in (1.4) the mapping  $x \mapsto \alpha(x)$  is maintained constant over  $\Omega$ . However, in complex media, the presence of heterogeneous regions displays space inhomogeneous variations and the CO fractional dynamic models are not robust for long times, see [11]. In this background the VO time-fractional model is more relevant for describing the space-dependent anomalous diffusion process, see e.g., [46]. As a matter of fact, several VO diffusion models have been successfully applied to numerous areas of applied sciences and engineering, such as Chemistry [10], Rheology [44], Biology [13], Hydrogeology [4] and Physics [45, 50].

Notice that the VO time-fractional kinetic equation is usually a corresponding macroscopic model to continuous time random walk (CTRW) driven stochastic diffusion processes with space-dependent diffusion coefficient. We refer to [36, 39] for a rigorous derivation of the fractional heat equation from a CTRW scheme with space-dependent diffusion coefficient.

### 1.3. A short review of the mathematical literature of time-fractional diffusion equations.

The mathematical study of ordinary and partial differential equations with fractional derivatives has attracted a lot of attention during the last decades. We refer to [24, 35, 37, 43] for a general introduction

to fractional calculus and to, e.g., [2, 15, 32] for a more specific focus on partial differential equations with time-fractional derivatives.

Autonomous CO fractional Cauchy problems, i.e. CO time-fractional diffusion equations associated with a time-independent elliptic part, have been extensively studied in the mathematical literature, see e.g., [23, 42, 14]. In this framework, the solution is unique and analytic with respect to the time variable, as it is expressed in terms of the Mittag-Leffler functions. This result is extended to the solution of Cauchy problems with almost sectorial operators in [47]. Existence and uniqueness results for autonomous CO fractional Cauchy systems, i.e. CO time-fractional diffusion equations associated with a time-dependent coefficients, are derived in [26, 49], by mean of the variational theory. In the particular case where the spatial domain  $\Omega = \mathbb{R}^d$ , an integro-differential approach of this problem can be found in [3].

As for distributed order (DO) time-fractional Cauchy problems, we refer to [25, 28, 33] for the analysis of the well-posedness issue, and to [28, 30] for the study of the asymptotic behavior of the solution. However, in contrast with CO or DO time-fractional equations, there is, to the best of our knowledge, no result available in the mathematical literature for VO time-fractional diffusion equations.

Quite similarly, there is only a small number of mathematical papers dealing with inverse problems associated with time-fractional diffusion processes, which are listed below. In the one-dimensional case, [9] proves simultaneous determination of the constant fractional differential order and the time-independent diffusion coefficient by Dirichlet boundary measurements of the solution. In dimension 2 or greater, [17] determines the constant fractional order from measurements at one point of the solution over the entire time span. In [12, 42], the time-varying factor in the source term or in the zeroth order coefficient is stably determined by pointwise observation of the solution. For half-order fractional diffusion equations, the zeroth order coefficient is stably reconstructed in [8, 48], with the aid of a Carleman estimate. In [41] the authors determine an unknown boundary condition in a time-fractional Cauchy problem and in [34] they recover an unknown semilinear term in a time-fractional reaction-diffusion equation. We also mention that a unique continuation result for CO time-fractional diffusion equations can be found in [7, 31].

As for multiple CO time-fractional systems, we refer to [27], claiming unique determination of the number of time-fractional derivatives with the corresponding differential orders and several spatially varying coefficients. Finally, in [22], general space-dependent coefficients defined on a Riemannian manifold, along with the Riemannian metric, are simultaneously recovered by the partial Dirichlet-to-Neumann map taken at one arbitrarily fixed time.

**1.4. Main results.** The first result of this paper is given for a Lipschitz continuous bounded domain  $\Omega$ . It establishes the existence, the uniqueness and the regularity properties of the weak solution to

the initial-boundary value problem (1.4) in the sense of Definition 2.2 below. For the statement of the main result, we introduce the contour in  $\mathbb{C}$ :

$$\gamma(\varepsilon, \theta) := \gamma_-(\varepsilon, \theta) \cup \gamma_0(\varepsilon, \theta) \cup \gamma_+(\varepsilon, \theta) \quad (1.5)$$

with  $\varepsilon \in (0, 1)$  and  $\theta \in (\frac{\pi}{2}, \pi)$ , where

$$\gamma_0(\varepsilon, \theta) := \{\varepsilon e^{i\beta}; \beta \in [-\theta, \theta]\} \text{ and } \gamma_{\pm}(\varepsilon, \theta) := \{se^{\pm i\theta}; s \in [\varepsilon, +\infty)\} \quad (1.6)$$

and the double sign corresponds each other.

Henceforth  $\langle t \rangle$  stands for  $(1 + t^2)^{\frac{1}{2}}$ , and the interval  $(0, T]$  (resp.,  $[0, T]$ ) should be understood as  $(0, +\infty)$  (resp.,  $[0, +\infty)$ ) for the case of  $T = +\infty$ .

Furthermore by  $A_q$  we denote the self-adjoint realization in  $L^2(\Omega)$  of the operator  $\mathcal{A}_q$  with the homogeneous Dirichlet boundary condition and for  $p \in \mathbb{C} \setminus \mathbb{R}_-$ , by  $(A_q + \rho(x)p^{\alpha(x)})^{-1}$  the resolvent operator of  $A_q + \rho(x)p^{\alpha(x)}$ .

Henceforth  $\mathcal{B}(X, Y)$  denotes the Banach space of all the bounded linear operators from a Banach space  $X$  to another Banach space  $Y$ , and we set  $\mathcal{B}(X) = \mathcal{B}(X, X)$ .

Then the existence and uniqueness result of a weak solution to (1.4) is as follows.

**Theorem 1.1.** *Suppose that (1.1) and (1.2) are fulfilled. Let  $u_0 \in L^2(\Omega)$ . We assume that  $f \in L^\infty(0, T; L^2(\Omega)) \cap \mathcal{C}((0, T], L^2(\Omega))$  in the case of  $T < +\infty$ , and  $f \in \mathcal{C}((0, +\infty), L^2(\Omega))$  satisfies  $\langle t \rangle^{-\zeta} f \in L^\infty(\mathbb{R}_+; L^2(\Omega))$  with some  $\zeta \in \mathbb{R}_+$  in the case of  $T = +\infty$ . Then there exists a unique weak solution  $u \in \mathcal{C}((0, T]; L^2(\Omega))$  to (1.4), which is expressed by*

$$u(t) = u(t, \cdot) = S_0(t)u_0 + \int_0^t S_1(t - \tau)f(\tau)d\tau + S_2f(t), \quad t \in (0, T], \quad (1.7)$$

where we set

$$S_0(t)\psi := \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp}(A_q + \rho(x)p^{\alpha(x)})^{-1}\rho(x)p^{\alpha(x)-1}\psi dp,$$

$$S_1(t)\psi := \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp}(A_q + \rho(x)p^{\alpha(x)})^{-1}\psi dp$$

and

$$S_2\psi := \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} p^{-1}(A_q + \rho(x)p^{\alpha(x)})^{-1}\psi dp$$

for all  $\psi \in L^2(\Omega)$ , the three above integrals being independent of the choice of  $\varepsilon \in (0, 1)$  and  $\theta \in (\frac{\pi}{2}, \pi)$ .

Moreover, if  $f = 0$ , then the mapping  $u : (0, T) \rightarrow L^2(\Omega)$  is analytic in  $(0, T)$ .

**Remark 1.** *If  $x \mapsto \alpha(x)$  is constant in  $\Omega$  then it is not hard to see that the operator  $S_2$  is identically zero. Therefore, (1.7) reduces to the classical Duhamel formula*

$$u(t) = S_0(t)u_0 + \int_0^t S_1(t - \tau)f(\tau)d\tau, \quad t \in (0, T], \quad (1.8)$$

which is consistent with the representation formula of the solution presented in [42, Theorems 2.1-2.2]. Moreover, it can be checked that (1.8) remains valid for all  $\alpha_0 \in (0, \frac{1}{2})$ , provided we have  $\alpha_M \in (\alpha_0, 2\alpha_0)$ .

The second result deals with the inverse problem of determining the unknown coefficients  $\alpha$ ,  $\rho$ ,  $q$  of the time fractional diffusion equation in (1.4) by partial boundary data of the solution. More precisely, we assume that  $\partial\Omega$  is  $\mathcal{C}^{1,1}$  and

$$a_{i,j}(x) = \delta_{i,j}, \quad x \in \Omega, \quad i, j = 1, \dots, d, \quad (1.9)$$

where  $\delta_{i,j}$  is equal to 1 whenever  $i = j$ , and to 0 otherwise. Then we fix  $k \in \mathbb{N} \setminus \{0, 1\}$  and consider the following system

$$\begin{cases} (\rho(x)\partial_t^{\alpha(x)} + \mathcal{A}_q)u(t, x) = 0, & (t, x) \in (0, +\infty) \times \Omega, \\ u(t, x) = t^k g(x), & (t, x) \in (0, +\infty) \times \partial\Omega, \\ u(0, x) = 0, & x \in \Omega, \end{cases} \quad (1.10)$$

with suitable  $g$ . Given two non empty subsets  $S_{\text{in}}$  and  $S_{\text{out}}$  of  $\partial\Omega$ , we introduce the following boundary operator

$$\mathcal{N}_{\alpha,\rho,q}(t) : \mathcal{H}_{\text{in}} \ni g \mapsto \partial_\nu u_g(t, \cdot)|_{S_{\text{out}}}, \quad t \in (0, +\infty), \quad (1.11)$$

where  $\mathcal{H}_{\text{in}} := \{g \in H^{3/2}(\partial\Omega); \text{supp } g \subset \overline{S_{\text{in}}}\}$ . Here by  $u_g$  we denote a unique solution in  $\mathcal{C}([0, +\infty); H^2(\Omega))$  to (1.10), whose existence is guaranteed by Proposition 3.1 stated below,  $\nu$  is the outward normal unit vector to  $\partial\Omega$ , and  $\partial_\nu u_g(t, x) := \nabla u_g(t, x) \cdot \nu(x)$  for  $(t, x) \in (0, +\infty) \times \partial\Omega$ .

We discuss the uniqueness in the inverse problem of determining the coefficients  $(\alpha, \rho, q)$  from the knowledge of the boundary operators  $\{\mathcal{N}_{\alpha,\rho,q}(t_n); n \in \mathbb{N}\}$  associated with a time sequence  $t_n$ ,  $n \in \mathbb{N}$  fulfilling

$$\text{the set } \{t_n; n \in \mathbb{N}\} \text{ has an accumulation point in } (0, +\infty). \quad (1.12)$$

Moreover we assume that  $\Omega$ ,  $S_{\text{in}}$  and  $S_{\text{out}}$  satisfy the following conditions.

(i) **Case:**  $d = 2$ .

It is required that  $\partial\Omega$  is composed of a finite number of smooth closed contours. In this case, we choose  $S_{\text{in}} = S_{\text{out}} := \gamma$ , where  $\gamma$  is any arbitrary non-empty relatively open subset of  $\partial\Omega$ , and the set of admissible unknown coefficients reads

$$\begin{aligned} \mathcal{E}_2 := & \{(\alpha, \rho, q); \alpha \in W^{1,r}(\Omega) \text{ and } \rho \in W^{1,r}(\Omega) \text{ fulfill (1.3) and} \\ & q \in W^{1,r}(\Omega; \mathbb{R}_+) \text{ with } r \in (2, +\infty)\}. \end{aligned}$$

(ii) **Case:**  $d \geq 3$ .

We choose  $x_0 \in \mathbb{R}^d$  outside the convex hull of  $\overline{\Omega}$ . Then we assume that

$$\{x \in \partial\Omega; (x - x_0) \cdot \nu \geq 0\} \subset S_{\text{in}} \text{ and } \{x \in \partial\Omega; (x - x_0) \cdot \nu \leq 0\} \subset S_{\text{out}}.$$

Furthermore we define the set of admissible unknown coefficients by

$$\mathcal{E}_d := \{(\alpha, \rho, q); \alpha \in L^\infty(\Omega) \text{ and } \rho \in L^\infty(\Omega) \text{ fulfill (1.3) and } q \in L^\infty(\Omega; \mathbb{R}_+)\}.$$

The uniqueness result for our inverse coefficients problem is as follows.

**Theorem 1.2.** *Let  $t_n, n \in \mathbb{N}$  fulfill (1.12) and assume that either (i) or (ii) is satisfied. If*

$$\mathcal{N}_{\alpha_1, \rho_1, q_1}(t_n) = \mathcal{N}_{\alpha_2, \rho_2, q_2}(t_n), \quad n \in \mathbb{N}, \quad (\alpha_j, \rho_j, q_j) \in \mathcal{E}_d, \quad j = 1, 2, \quad (1.13)$$

*then we have  $(\alpha_1, \rho_1, q_1) = (\alpha_2, \rho_2, q_2)$ .*

**1.5. Comments and outline.** As was already mentioned above, the present paper is, to our best knowledge, the first mathematical work dealing with existence and uniqueness results of a weak solution to time-fractional Cauchy systems of space-dependent order. Such a weak solution is defined by Definition 2.2, which was inspired by [23, Definition 1.1]. In the particular case where the mapping  $x \mapsto \alpha(x)$  is constant in  $\Omega$ , it turns out that these two definitions are equivalent (see also Remark 1). Moreover, it can be checked that Definition 2.2 is equivalent to [47, Definition 4.1]. Nevertheless, we believe that the formulation of Definition 2.2, arising from the Laplace decomposition of (1.4), is more natural than the one of [47, Definition 4.1], which is based on an abstract integral equation. Finally, we point out that Definition 2.2 applies without change to DO time-fractional diffusion equations (see [28, Definition 1.1]).

In Theorem 1.1 we prove existence and uniqueness of the weak solution to (1.4), by applying the Brownich-Mellin formula to the Laplace transform of the expected solution. This step requires that the non self-adjoint operators  $\rho^{-1}A_q + p^\alpha$ , for  $p \in \mathbb{C} \setminus \mathbb{R}_-$ , be boundedly invertible in  $L^2(\Omega; \rho dx)$  and that their resolvent operator be appropriately estimated as in Proposition 2.1. This technical estimation is the main difference with the analysis carried out for CO or DO time-fractional Cauchy systems in e.g., [28, 42]. Indeed, CO (resp., DO) time-fractional diffusion equations with time-independent elliptic operator  $\rho^{-1}A_q$  decompose into a family of CO (resp. DO) time-fractional ordinary differential equations, obtained by projection onto the eigenspaces of  $\rho^{-1}A_q$ , which are explicitly solvable in terms of the Mittag-Leffler functions (resp., suitable special functions associated with the density function appearing in the DO), see e.g., [42, Theorems 2.1 and 2.2] (resp., [28, Proposition 2.1]). Obviously, this is no longer true when  $x \mapsto \alpha(x)$  is non-constant, which makes the analysis of the well-posedness of VO time-fractional Cauchy problems technically more challenging than the one of CO or DO time-fractional Cauchy systems.

The method carried out in this paper for the analysis of the forward problem under investigation, is powerful enough to show that the weak solution is analytic with respect to the time variable, which is a cornerstone in the derivation of unique identification result stated in Theorem 1.2. This is all the more remarkable given that the strategy developed in [49] for building variational solutions to CO

time-fractional diffusion equations does not seem to work for VO time-fractional Cauchy problems, and that the integro-differential approach implemented in [3] is not applicable to time-fractional Cauchy problems with a general elliptic operator defined on a bounded domain, such as  $\rho^{-1}A_q$ .

Notice from (1.7) that the weak solution to (1.4) is not represented by the classical Duhamel formula, as the right hand side of (1.7) is the superposition of three terms. The two first terms are the usual expressions arising from the initial state  $u_0$  and the forcing term  $f$ , and they are similar the ones appearing in the representation of the weak solution to CO or DO time-fractional Cauchy systems, see [42, Theorems 2.1 and 2.2] and [28, Proposition 2.1]. In the case of VO time-fractional Cauchy problems, there is an additional term (denoted by  $S_2f$  in (1.7)) appearing in the representation formula of the weak solution. As already noted in Remark 1, this additional term is uniformly zero when  $x \mapsto \alpha(x)$  is constant in  $\Omega$ . Moreover, we can also prove that the operator  $S_2$  vanishes for all fractional orders  $\alpha : \Omega \rightarrow [\alpha_0, \alpha_M] \subset (0, 1)$  such that  $\alpha_0 \in (0, \frac{1}{2})$  and  $\alpha_M \in (\alpha_0, 2\alpha_0)$ . This suggests that the occurrence of a non-vanishing operator  $S_2$  in (1.7) is tied to a sufficiently large magnitude of variation of  $\alpha$ , indicating that the non-standard Duhamel formula (1.7) is an effect of the  $x$ -dependency of the fractional order.

The paper is organized as follows. In Section 2, we discuss the well-posedness of the initial-boundary value problem (1.4). More precisely, the weak solution to the VO time-fractional diffusion equation appearing in (1.4), is defined in Section 2.2, and Section 2.3 proves Theorem 1.1, which is by means of a technical resolvent estimate of the elliptic part of the diffusion equation given in Section 2.1. The proof of the statement of Remark 1 can be found in Section 2.4.

The analysis of the uniqueness result in our inverse problem is carried out in Section 3. That is, the partial boundary operators (1.11) are rigorously defined in Section 3.1, and Section 3.2 provides the proof of Theorem 1.2.

## 2. ANALYSIS OF THE FORWARD PROBLEM

**2.1. Elliptic operator: self-adjointness and resolvent estimate.** Let  $A_0$  be the operator generated by the quadratic form

$$a_0(u) := \sum_{i,j=1}^d \int_{\Omega} a_{i,j}(x) \partial_{x_i} u(x) \partial_{x_j} u(x) dx, \quad u \in H_0^1(\Omega).$$

Since there exists a constant  $\tilde{c}_0 > 0$  such that

$$a_0(u) \geq c_0 \|\nabla u\|_{L^2(\Omega)^d}^2 \geq \tilde{c}_0 \|u\|_{H^1(\Omega)}^2, \quad u \in H_0^1(\Omega), \quad (2.1)$$

by (1.1) and the Poincaré inequality, the operator  $A_0$  is self-adjoint in  $L^2(\Omega)$  and acts as  $\mathcal{A}_0$  on its dense domain  $D(A_0)$  in  $L^2(\Omega)$ , that is,  $A_0 u = \mathcal{A}_0 u$  for all  $u \in D(A_0)$ .

Put  $r := 2\kappa/(\kappa - 2)$  and notice from the Hölder inequality that

$$\|qu\|_{L^2(\Omega)} \leq \|q\|_{L^\kappa(\Omega)} \|u\|_{L^r(\Omega)}, \quad u \in L^r(\Omega). \quad (2.2)$$

Furthermore we have  $H^1(\Omega) = W^{1,2}(\Omega) \subset W^{r_0,r}(\Omega)$  with  $r_0 := 1 - d/\kappa \in (0,1)$  by the Sobolev embedding theorem (e.g., [16, Theorem 1.4.4.1]), and the embedding is continuous:

$$\exists c > 0, \quad \|u\|_{W^{r_0,r}(\Omega)} \leq c \|u\|_{H^1(\Omega)}, \quad u \in H^1(\Omega). \quad (2.3)$$

Therefore, by (2.2)-(2.3), we have  $\|qu\|_{L^2(\Omega)} \leq c \|q\|_{L^\kappa(\Omega)} \|u\|_{H^1(\Omega)}$  for every  $u \in H^1(\Omega)$ , and so it follows from (2.1) that

$$\begin{aligned} \|qu\|_{L^2(\Omega)}^2 &\leq \frac{c^2 \|q\|_{L^\kappa(\Omega)}^2}{\tilde{c}_0^2} \langle A_0 u, u \rangle_{L^2(\Omega)} \\ &\leq \frac{c^2 \|q\|_{L^\kappa(\Omega)}^2}{2\tilde{c}_0^2} \left( \varepsilon \|A_0 u\|_{L^2(\Omega)}^2 + \varepsilon^{-1} \|u\|_{L^2(\Omega)}^2 \right), \quad u \in D(A_0), \quad \varepsilon \in (0, +\infty). \end{aligned}$$

Thus, taking  $\varepsilon > 0$  so small that  $\varepsilon c^2 \|q\|_{L^\kappa(\Omega)}^2 < 2\tilde{c}_0^2$ , we see that the multiplier by  $q$  in  $L^2(\Omega)$  is  $A_0$ -bounded with relative bound zero. As a consequence,  $A_q := A_0 + q$  is self-adjoint in  $L^2(\Omega)$  with domain  $D(A_q) = D(A_0)$  by the Kato-Rellich theorem (see e.g., [20, Theorem V.4.3], [38, Theorem X.12]). Moreover  $A_q$  acts as  $\mathcal{A}_q$  on  $D(A_q) = D(A_0)$ .

In this article, we suppose (1.2) in such a way that  $A_q \geq \tilde{c}_0$  in the operator sense, where  $\tilde{c}_0$  is the constant appearing in (2.1). This hypothesis is quite convenient for proving Proposition 2.1 below stated, which is essential for the proof of Theorem 1.1 and Proposition 3.1, but it could be removed at the price of greater unessential technical difficulties. Nevertheless, for simplicity, we shall not go further into this direction.

**Proposition 2.1.** *For all  $p \in \mathbb{C} \setminus \mathbb{R}_-$ , the operator  $A_q + \rho(x)p^{\alpha(x)}$  is boundedly invertible in  $L^2(\Omega)$  and  $(A_q + \rho(x)p^{\alpha(x)})^{-1}$  maps  $L^2(\Omega)$  into  $D(A_0)$ . Moreover*

$$\left\| (A_q + \rho(x)r^{\alpha(x)}e^{i\beta\alpha(x)})^{-1} \right\|_{\mathcal{B}(L^2(\Omega))} \leq C(r, \beta) \max_{j=0,M} r^{-\alpha_j}, \quad r \in (0, +\infty), \quad \beta \in (-\pi, \pi) \quad (2.4)$$

with

$$C(r, \beta) := \begin{cases} 2\rho_0^{-1}, & \text{if } |\beta| \leq \theta_*(r), \\ \rho_0^{-1}c_*(\beta), & \text{otherwise,} \end{cases} \quad (2.5)$$

and

$$\theta_*(r) := \alpha_M^{-1} \min_{\sigma=\pm 1} \arctan \left( \frac{\rho_0}{3\rho_M} r^{\sigma(\alpha_M - \alpha_0)} \right), \quad c_*(\beta) := \max_{j=0,M} |\sin(\alpha_j \beta)|^{-1}. \quad (2.6)$$

Furthermore the mapping  $p \mapsto (A_q + \rho(x)p^{\alpha(x)})^{-1}$  is bounded holomorphic in  $\mathbb{C} \setminus \mathbb{R}_-$  as operator with values in  $\mathcal{B}(L^2(\Omega))$ .

*Proof.* We shall prove (2.4) only for  $r \in [1, +\infty)$ , because the corresponding estimate for  $r \in (0, 1)$  can be derived in the same way.

a) Firstly we assume that  $\beta \in (0, \pi)$ . The case of  $\beta \in (-\pi, 0)$  is similarly treated. We define a multiplication operator  $U_\beta$  in  $L^2(\Omega)$  by  $U_\beta f(x) = u_\beta(x)f(x)$  for  $f \in L^2(\Omega)$ , where

$$u_\beta(x) := \left( \rho(x)r^{\alpha(x)} \sin(\beta\alpha(x)) \right)^{1/2}, \quad x \in \Omega.$$

Then  $iU_\beta^2$  is the skew-adjoint part of the operator  $A_q + \rho(x)r^{\alpha(x)}e^{i\beta\alpha(x)}$ . Putting  $m_\beta := \min_{j=0,M} \sin(\alpha_j\beta)$ , we have

$$0 < \rho_0^{1/2} m_\beta^{1/2} r^{\alpha_0/2} \leq u_\beta(x) \leq \rho_M^{1/2} r^{\alpha_M/2}, \quad x \in \Omega.$$

Hence the self-adjoint operator  $U_\beta$  is bounded and boundedly invertible in  $L^2(\Omega)$  and

$$\|U_\beta^{-1}\|_{\mathcal{B}(L^2(\Omega))} \leq \rho_0^{-1/2} m_\beta^{-1/2} r^{-\alpha_0/2}. \quad (2.7)$$

Moreover, for each  $p = re^{i\beta}$ , it holds true that

$$A_q + \rho(x)p^{\alpha(x)} = U_\beta \left( U_\beta^{-1} B_{q,p} U_\beta^{-1} + i \right) U_\beta, \quad (2.8)$$

where  $B_{q,p} := A_q + \rho(x)r^{\alpha(x)} \cos(\beta\alpha(x))$  denotes the self-adjoint part of the operator  $A_q + \rho(x)p^{\alpha(x)}$ , i.e.,

$$A_q + \rho(x)p^{\alpha(x)} = B_{q,p} + iU_\beta^2.$$

Indeed, the multiplication operator by  $\rho(x)r^{\alpha(x)} \cos(\beta\alpha(x))$  is bounded by  $\rho_M r^{\alpha_M}$  in  $L^2(\Omega)$ , and the operator  $B_{q,p}$  is self-adjoint in  $L^2(\Omega)$  with domain  $D(A_q) = D(A_0)$ , by the Kato-Rellich theorem. Thus  $U_\beta^{-1} B_{q,p} U_\beta^{-1}$  is self-adjoint in  $L^2(\Omega)$  as well with domain  $U_\beta D(A_0)$ . Therefore, the operator  $U_\beta^{-1} B_{q,p} U_\beta^{-1} + i$  is invertible in  $L^2(\Omega)$  and satisfies the estimate

$$\|(U_\beta^{-1} B_{q,p} U_\beta^{-1} + i)^{-1}\|_{\mathcal{B}(L^2(\Omega))} \leq 1.$$

It follows from this and (2.8) that  $A_q + \rho(x)p^{\alpha(x)}$  is invertible in  $L^2(\Omega)$  with

$$(A_q + \rho(x)p^{\alpha(x)})^{-1} = U_\beta^{-1} (U_\beta^{-1} B_{q,p} U_\beta^{-1} + i)^{-1} U_\beta^{-1},$$

showing that  $(A_q + \rho(x)p^{\alpha(x)})^{-1}$  maps  $L^2(\Omega)$  into  $U_\beta^{-1} D(U_\beta^{-1} B_{q,p} U_\beta^{-1}) = D(A_0)$ . As a consequence, we infer from (2.7) that

$$\|(A_q + \rho(x)p^{\alpha(x)})^{-1}\|_{\mathcal{B}(L^2(\Omega))} \leq \|(U_\beta^{-1} B_{q,p} U_\beta^{-1} + i)^{-1}\|_{\mathcal{B}(L^2(\Omega))} \|U_\beta^{-1}\|_{\mathcal{B}(L^2(\Omega))}^2 \leq \rho_0^{-1} m_\beta^{-1} r^{-\alpha_0}.$$

b) It follows from  $r \in [1, +\infty)$  that  $\alpha_M \theta_*(r) = \arctan(\rho_0 \rho_M^{-1} r^{-(\alpha_M - \alpha_0)} / 3) \in (0, \pi/6)$ . Thus we have  $\cos(\alpha_M \theta_*(r)) / 3 = \rho_0^{-1} \rho_M r^{\alpha_M - \alpha_0} \sin(\alpha_M \theta_*(r))$  and  $2 \cos(\alpha_M \theta_*(r)) / 3 > 1/\sqrt{3} > 1/2$ , which involves

$$\cos(\alpha_M \theta_*(r)) \geq \frac{1}{2} + \rho_0^{-1} \rho_M r^{\alpha_M - \alpha_0} \sin(\alpha_M \theta_*(r)). \quad (2.9)$$

Next, for each  $\beta \in [-\theta_*(r), \theta_*(r)]$ , we have  $\alpha(x)|\beta| \leq \alpha_M \theta_*(r) < \pi/2$ , and hence  $\cos(\alpha(x)\beta) \geq \cos(\alpha_M \theta_*(r)) > 0$ . From this, (1.1) and (1.2) it follows that

$$B_{q,p} \geq \rho_0 r^{\alpha_0} \cos(\alpha_M \theta_*(r))$$

in the operator sense. Therefore  $B_{q,p}$  is boundedly invertible in  $L^2(\Omega)$  and

$$\|B_{q,p}^{-1}\|_{\mathcal{B}(L^2(\Omega))} \leq \frac{\rho_0^{-1}r^{-\alpha_0}}{\cos(\alpha_M\theta_*(r))}. \quad (2.10)$$

Similarly, by  $|\sin(\alpha(x)\beta)| \leq \sin(\alpha_M\theta_*(r))$ , we obtain that

$$\|U_\beta\|_{\mathcal{B}(L^2(\Omega))} \leq \rho_M^{1/2}r^{\alpha_M/2} \sin(\alpha_M\theta_*(r))^{1/2}.$$

This and (2.10) yield

$$\|B_{q,p}^{-1}U_\beta^2\|_{\mathcal{B}(L^2(\Omega))} \leq \|B_{q,p}^{-1}\|_{\mathcal{B}(L^2(\Omega))}\|U_\beta\|_{\mathcal{B}(L^2(\Omega))}^2 \leq \rho_0^{-1}\rho_M r^{\alpha_M-\alpha_0} \tan(\alpha_M\theta_*(r)) < 1.$$

Furthermore, using that  $A_q + \rho(x)p^{\alpha(x)} = B_{q,p}(I + iB_{q,p}^{-1}U_\beta^2)$ , where  $I$  denotes the identity operator in  $L^2(\Omega)$ , we see that  $A_q + \rho(x)p^{\alpha(x)}$  is invertible in  $L^2(\Omega)$  with

$$\begin{aligned} \|(A_q + \rho(x)p^{\alpha(x)})^{-1}\|_{\mathcal{B}(L^2(\Omega))} &\leq \frac{\|B_{q,p}^{-1}\|_{\mathcal{B}(L^2(\Omega))}}{1 - \|B_{q,p}^{-1}U_\beta^2\|_{\mathcal{B}(L^2(\Omega))}} \\ &\leq \frac{\rho_0^{-1}r^{-\alpha_0}}{\cos(\alpha_M\theta_*(r)) - \rho_0^{-1}\rho_M r^{\alpha_M-\alpha_0} \sin(\alpha_M\theta_*(r))}. \end{aligned}$$

This and (2.9) involve  $\|(A_q + \rho(x)p^{\alpha(x)})^{-1}\|_{\mathcal{B}(L^2(\Omega))} \leq 2\rho_0^{-1}r^{-\alpha_0}$ .

c) We turn now to proving that  $p \mapsto (A_q + \rho(x)p^{\alpha(x)})^{-1}$  is bounded holomorphic in  $\mathbb{C} \setminus \mathbb{R}_-$ . To this purpose, we introduce the closed sesquilinear form

$$a_{q,p}(u) := a_0(u) + \int_{\Omega} (q(x) + \rho(x)p^{\alpha(x)})|u(x)|^2 dx, \quad u \in H_0^1(\Omega),$$

which is associated with the operator  $A_q + \rho(x)p^{\alpha(x)}$  in  $L^2(\Omega)$ . In light of (1.2) and (2.1), we have

$$\Re a_{q,p}(u) \geq \left( \tilde{c}_0 - \rho_M \max_{j=0,M} |p|^{\alpha_j} \right) \|u\|_{L^2(\Omega)}^2 \quad \text{and} \quad \Im a_{q,p}(u) \leq \left( \rho_M \max_{j=0,M} |p|^{\alpha_j} \right) \|u\|_{L^2(\Omega)}^2$$

for all  $u \in H_0^1(\Omega)$ , involving that  $a_{q,p}$  is sectorial for every  $p \in \mathbb{C} \setminus \mathbb{R}_-$ . Here and henceforth  $\Re$  and  $\Im$  mean the real part and the imaginary part of a complex number under consideration, respectively.

Moreover, since  $p \mapsto a_{q,p}(u)$  is holomorphic in  $\mathbb{C} \setminus \mathbb{R}_-$ , we see that  $\{a_{q,p}; p \in \mathbb{C} \setminus \mathbb{R}_-\}$  is an analytic family of sesquilinear forms of type (a) in the sense of Kato (see [20, Section VII.4.2]). From [20, Theorem VII.4.2] it follows that  $\{A_q + \rho(x)p^{\alpha(x)}; p \in \mathbb{C} \setminus \mathbb{R}_-\}$  is an analytic family of operators. Therefore  $p \mapsto (A_q + \rho(x)p^{\alpha(x)})^{-1}$  is holomorphic in  $\mathbb{C} \setminus \mathbb{R}_-$  by [20, Theorem VII.1.3]. Thus the proof of Proposition 2.1 is complete.  $\square$

We point out that  $\theta_*(r)$  behaves likes  $\min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)}$  as  $r$  becomes either sufficiently small or sufficiently large (that is, like  $r^{-(\alpha_M-\alpha_0)}$  as  $r \rightarrow 0$ , and like  $r^{(\alpha_M-\alpha_0)}$  as  $r \rightarrow +\infty$ ). Indeed, bearing in mind that  $\arctan u = \int_0^u \frac{dv}{1+v^2}$  for all  $u \in [0, +\infty)$ , we see that  $\arctan u \in \left[ \frac{u}{1+u^2}, u \right]$ , and so we infer from (2.6) that

$$\frac{\frac{\rho_0}{3\rho_M} \min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)}}{1 + \frac{\rho_0^2}{9\rho_M^2} \min_{\sigma=\pm 1} r^{2\sigma(\alpha_M-\alpha_0)}} \leq \alpha_M\theta_*(r) \leq \frac{\rho_0}{3\rho_M} \min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)}, \quad r \in (0, +\infty).$$

Since  $\min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)} \in (0, 1]$ , the denominator of the left-hand side of the above inequality is majorized by  $1 + \frac{\rho_0^2}{9\rho_M^2} \leq \frac{10}{9}$ , so that we have

$$\frac{3\rho_0}{10\rho_M} \min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)} \leq \alpha_M \theta_*(r) \leq \frac{\rho_0}{3\rho_M} \min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)}, \quad r \in (0, +\infty).$$

Therefore it follows readily from (2.5)-(2.6) and the inequality  $\sin u \geq \frac{u}{2}$  for all  $u \in [0, 1]$  that

$$C(r, \beta) \leq \rho_0^{-1} \left( \sin \left( \frac{3\alpha_0\rho_0}{10\alpha_M\rho_M} \min_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)} \right) \right)^{-1} \leq \frac{20\alpha_M\rho_M}{3\alpha_0\rho_0^2} \max_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)},$$

when  $r$  is sufficiently close to either 0 or  $+\infty$ . As a consequence, there exists a constant  $C > 0$ , which is independent of  $r$  and  $\beta$  such that we have

$$C(r, \beta) \leq C \max_{\sigma=\pm 1} r^{\sigma(\alpha_M-\alpha_0)}, \quad r \in (0, +\infty), \quad \beta \in (-\pi, \pi). \quad (2.11)$$

**2.2. Weak solution.** Let  $\mathcal{S}'(\mathbb{R}; L^2(\Omega))$  be the space dual to  $\mathcal{S}(\mathbb{R}; L^2(\Omega))$ . We denote by  $\mathcal{S}'(\mathbb{R}_+; L^2(\Omega)) := \{v \in \mathcal{S}'(\mathbb{R}; L^2(\Omega)); \text{supp } v \subset [0, +\infty) \times \overline{\Omega}\}$  the set of distributions in  $\mathcal{S}'(\mathbb{R}; L^2(\Omega))$  supported in  $[0, +\infty) \times \overline{\Omega}$ . Otherwise stated,  $v \in \mathcal{S}'(\mathbb{R}; L^2(\Omega))$  lies in  $\mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$  if and only if  $\langle v, \varphi \rangle_{\mathcal{S}'(\mathbb{R}; L^2(\Omega)), \mathcal{S}(\mathbb{R}; L^2(\Omega))} = 0$  whenever  $\varphi \in \mathcal{S}(\mathbb{R}; L^2(\Omega))$  vanishes in  $\mathbb{R}_+ \times \overline{\Omega}$ . As a consequence, for a.e.  $x \in \Omega$ , we have

$$\langle v(\cdot, x), \varphi \rangle_{\mathcal{S}'(\mathbb{R}), \mathcal{S}(\mathbb{R})} = \langle v(\cdot, x), \psi \rangle_{\mathcal{S}'(\mathbb{R}), \mathcal{S}(\mathbb{R})}, \quad \varphi, \psi \in \mathcal{S}(\mathbb{R}), \quad (2.12)$$

provided  $\varphi = \psi$  in  $\mathbb{R}_+$ . Furthermore we say that  $\varphi \in \mathcal{S}(\mathbb{R}_+)$  if  $\varphi$  is the restriction to  $\mathbb{R}_+$  of a function  $\tilde{\varphi} \in \mathcal{S}(\mathbb{R})$ . Then we set

$$x \mapsto \langle v(\cdot, x), \varphi \rangle_{\mathcal{S}'(\mathbb{R}_+), \mathcal{S}(\mathbb{R}_+)} := x \mapsto \langle v(\cdot, x), \tilde{\varphi} \rangle_{\mathcal{S}'(\mathbb{R}), \mathcal{S}(\mathbb{R})}, \quad v \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega)). \quad (2.13)$$

Notice from (2.12) that  $\tilde{\varphi}$  may be any function in  $\mathcal{S}(\mathbb{R})$  such that  $\tilde{\varphi}(t) = \varphi(t)$  for all  $t \in \mathbb{R}_+$ .

For  $p \in \mathbb{C}_+ := \{z \in \mathbb{C}; \Re z > 0\}$ , we put

$$e_p(t) := \exp(-pt), \quad t \in \mathbb{R}_+.$$

Evidently,  $e_p \in \mathcal{S}(\mathbb{R}_+)$ . For  $v \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$ , we define the Laplace transform  $\mathcal{L}[v]$  in  $t$  of  $v$  by

$$\mathcal{L}[v](p) := x \mapsto \langle v(\cdot, x), e_p \rangle_{\mathcal{S}'(\mathbb{R}_+), \mathcal{S}(\mathbb{R}_+)}, \quad p \in \mathbb{C}_+,$$

and notice that  $p \mapsto \mathcal{L}[v](p) \in \mathcal{C}^\infty(\mathbb{C}_+; L^2(\Omega))$ . Having seen this, we define the weak solution to (1.4) as follows.

**Definition 2.2.** Let  $u_0 \in L^2(\Omega)$ . For  $T < +\infty$ , we assume that  $f \in L^1(0, T; L^2(\Omega))$  and, for  $T = +\infty$ , we assume that there exists  $m \in \mathbb{N}$  such that  $(1+t)^{-m}f \in L^1(\mathbb{R}_+; L^2(\Omega))$ . We say that  $u$  is a weak solution to (1.4) if  $u$  is the restriction to  $Q$  of a distribution  $v \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$  and the Laplace transform  $V := \mathcal{L}[v]$  verifies

$$V(p) = \left( A_q + \rho(x)p^{\alpha(x)} \right)^{-1} \left( F(p) + \rho(x)p^{\alpha(x)-1}u_0 \right), \quad p \in (0, +\infty). \quad (2.14)$$

Here  $F(p) := \mathcal{L}[f(t, \cdot)1_{(0,T)}(t)](p) = \int_0^T e^{-pt} f(t, \cdot) dt$ , where  $1_I$  denotes the characteristic function of a set  $I \subset \mathbb{R}$ .

**Remark 2.** Notice from (2.14) and Proposition 2.1 that  $V(p) \in D(A_q) = D(A_0) \subset H_0^1(\Omega)$  for all  $p \in (0, +\infty)$ , which entails that  $V(p) = 0$  on  $\partial\Omega$ . Actually it is clear that (2.14) can be equivalently replaced by the condition

$$\begin{cases} (\mathcal{A}_q + \rho(x)p^{\alpha(x)})V(p) = F(p) + \rho(x)p^{\alpha(x)-1}u_0, & \text{in } \Omega, \\ V(p) = 0, & \text{on } \partial\Omega \end{cases}$$

for all  $p \in (0, +\infty)$ .

**Remark 3.** For all  $h \in \mathcal{C}^1(\mathbb{R}^+)$  such that

$$\varepsilon_0 := \inf \left\{ \varepsilon \in (0, +\infty); e^{-\varepsilon t} \frac{d^k h(t)}{dt^k} \in L^1(\mathbb{R}^+), k = 0, 1 \right\} \in \mathbb{R}_+,$$

we know from [37, Eq. (2.140)] that

$$\mathcal{L}[\partial^{\alpha(x)} h](p) = p^{\alpha(x)} H(p) - p^{\alpha(x)-1} h(0), \quad p \in (\varepsilon_0, +\infty),$$

where  $H(p) := \mathcal{L}[h](p) = \int_0^{+\infty} e^{-pt} h(t) dt$ . Therefore, in the particular case where the mapping  $x \mapsto \alpha(x)$  is constant, we infer from [42, Theorems 2.1 and 2.2] that the initial-boundary value problem (1.4) admits a unique weak solution to (1.4) in the sense of Definition 2.2, provided  $u_0$  and  $f$  are sufficiently smooth.

**2.3. Proof of Theorem 1.1.** The proof is divided into two parts: the first one is concerned with  $S_0$ , while the second deals with the operators  $S_1$  and  $S_2$ .

1) Let us start with  $S_0(t)$ . To this purpose, we set

$$W(p) := p^{-2}(\mathcal{A}_q + \rho(x)p^{\alpha(x)})^{-1} \rho(x)p^{\alpha(x)-1} u_0, \quad p \in \mathbb{C} \setminus \mathbb{R}_-,$$

fix  $\mu \in [1, +\infty)$ , and infer from (2.4) that

$$\|W(\mu + i\eta)\|_{L^2(\Omega)} \leq C(r, \beta) \rho_M |\mu + i\eta|^{-3+\alpha_M-\alpha_0}, \quad \eta \in \mathbb{R}, \quad (2.15)$$

where  $C(r, \beta)$  is given by (2.5)-(2.6) with  $r = |\mu + i\eta| \in [1, +\infty)$  and  $\beta = \arg(\mu + i\eta) \in (-\frac{\pi}{2}, \frac{\pi}{2})$ . According to (2.11), there exists a constant  $C = C(\alpha_0, \alpha_M, \rho_0, \rho_M)$  such that

$$C(r, \beta) \leq C |\mu + i\eta|^{\alpha_M-\alpha_0}, \quad \mu \in [1, +\infty), \quad \eta \in \mathbb{R}.$$

Thus (2.15) yields

$$\|W(\mu + i\eta)\|_{L^2(\Omega)} \leq C \langle \eta \rangle^{-3+2(\alpha_M-\alpha_0)}, \quad \mu \in [1, +\infty), \quad \eta \in \mathbb{R}, \quad (2.16)$$

upon substituting  $C$  for  $\rho_M C$ . As a consequence, we have for each  $k = 1, 2$ ,

$$C_k := \sup_{\mu \in [1, +\infty)} \|W(\mu + i\cdot)\|_{L^k(\mathbb{R}; L^2(\Omega))} = \sup_{\mu \in [1, +\infty)} \left( \int_{\mathbb{R}} \|W(\mu + i\eta)\|_{L^2(\Omega)}^k d\eta \right)^{\frac{1}{k}} < \infty, \quad (2.17)$$

and hence

$$\omega(t) := \frac{1}{2i\pi} \int_{i\infty}^{i\infty} e^{tp} W(p+1) dp = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{it\eta} W(1+i\eta) d\eta \quad (2.18)$$

is well defined for all  $t \in \mathbb{R}$ . Moreover the mapping  $p \mapsto e^{tp} W(p+1)$  is holomorphic in  $\mathbb{C} \setminus (-\infty, -1]$  by Proposition 2.1, and so we infer from the Cauchy formula that

$$\omega(t) = \frac{1}{2i\pi} \int_{s-i\infty}^{s+i\infty} e^{tp} W(p+1) dp, \quad s \in (0, +\infty). \quad (2.19)$$

Indeed, for all  $R \in (1, +\infty)$  and  $s \in (0, +\infty)$ , we have

$$\int_{s-iR}^{s+iR} e^{tp} W(p+1) dp - \int_{-iR}^{iR} e^{tp} W(p+1) dp = \sum_{\sigma=\pm 1} \sigma \int_0^s e^{t(\mu+i\sigma R)} W(\mu+1+i\sigma R) d\mu \quad (2.20)$$

from the Cauchy formula, and

$$\left\| \int_0^s e^{t(\mu+i\sigma R)} W(\mu+1+i\sigma R) d\mu \right\|_{L^2(\Omega)} \leq C s \max(1, e^{st}) \langle R \rangle^{-3+2(\alpha_M-\alpha_0)}, \quad \sigma = \pm 1,$$

by (2.16). Hence (2.19) follows by letting  $R$  to  $+\infty$  in (2.20). Next, in view of (2.19), we obtain that

$$\|\omega(t)\|_{L^2(\Omega)} = \frac{1}{2\pi} \left\| \int_{\mathbb{R}} e^{t(s+i\eta)} W(s+1+i\eta) d\eta \right\|_{L^2(\Omega)} \leq \frac{e^{st}}{2\pi} \sup_{\mu \in [1, +\infty)} \|W(\mu+i\cdot)\|_{L^1(\mathbb{R}; L^2(\Omega))}$$

for all  $t \in \mathbb{R}$  and  $s \in (0, +\infty)$ , and consequently that

$$\|\omega(t)\|_{L^2(\Omega)} \leq \frac{C_1}{2\pi} e^{ts} \quad (2.21)$$

according to (2.17). Now, letting  $s$  to  $+\infty$  on the right-hand side of (2.21), we have

$$\omega(t) = 0, \quad t \in (-\infty, 0). \quad (2.22)$$

Similarly, by letting  $s$  to 0 in (2.21), we find that  $\|\omega(t)\|_{L^2(\Omega)} \leq \frac{C_1}{2\pi}$  for all  $t \in [0, +\infty)$ . Therefore, we have  $\omega \in L^\infty(\mathbb{R}; L^2(\Omega)) \cap \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$ , and since  $p \mapsto W(p+1)$  is holomorphic in  $\mathbb{C}_+$ , we infer from (2.17) with  $k=2$ , (2.18), Theorem 19.2 and the following remark in [40] that  $\mathcal{L}[\omega](p) = W(p+1)$  for all  $p \in \mathbb{C}_+$ . As a consequence, the function

$$w(t) := e^t \omega(t) = \frac{1}{2i\pi} \int_{-i\infty}^{i\infty} e^{t(p+1)} W(p+1) dp = \frac{1}{2i\pi} \int_{1-i\infty}^{1+i\infty} e^{tp} W(p) dp, \quad t \in \mathbb{R} \quad (2.23)$$

verifies

$$\mathcal{L}[w](p) = \mathcal{L}[\omega](p-1) = W(p), \quad p \in \{z \in \mathbb{C}; \Re z \in (1, +\infty)\}. \quad (2.24)$$

Next (2.4)–(2.6) imply

$$\|W(1+i\eta)\|_{L^2(\Omega)} \leq \rho_0^{-1} \max\left(2, c_* \left(\frac{\pi}{4}\right)\right) \langle \eta \rangle^{-3+\alpha_M-\alpha_0}, \quad \eta \in \mathbb{R} \setminus (-1, 1),$$

and we infer from (2.17) with  $k=1$  that the mapping  $\eta \mapsto (1+i\eta)W(1+i\eta) \in L^1(\mathbb{R}; L^2(\Omega))$ . Therefore we have

$$y(t) := \partial_t w(t) = \frac{1}{2i\pi} \int_{1-i\infty}^{1+i\infty} e^{tp} p W(p) dp, \quad t \in \mathbb{R} \quad (2.25)$$

by (2.23), and

$$\mathcal{L}[y](p) = p \mathcal{L}[w](p) = p W(p), \quad p \in \{z \in \mathbb{C}; \Re z \in (1, +\infty)\} \quad (2.26)$$

from (2.24). Furthermore, due to (2.25) and the analyticity of the mapping  $p \mapsto e^{tp}pW(p)$  in  $\mathbb{C} \setminus \mathbb{R}_-$ , arising from Proposition 2.1, the following identity

$$y(t) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp}pW(p)dp, \quad t \in \mathbb{R}_+, \quad (2.27)$$

holds for any  $\varepsilon \in (0, 1)$  and  $\theta \in (\frac{\pi}{2}, \pi)$ , where  $\gamma(\varepsilon, \theta)$  is defined by (1.5)-(1.6). Here we used the Cauchy formula and took advantage of the fact that

$$\lim_{\eta \rightarrow +\infty} \int_{\eta((\tan \theta)^{-1} \pm i)}^{1 \pm i\eta} e^{tp}pW(p)dp = 0, \quad t \in \mathbb{R}_+$$

in  $L^2(\Omega)$ . Indeed, for any sufficiently large  $\eta \in (1, +\infty)$  and all  $t \in \mathbb{R}_+$ , (2.4)–(2.6) yield

$$\begin{aligned} \left\| \int_{\eta((\tan \theta)^{-1} \pm i)}^{1 \pm i\eta} e^{tp}pW(p)dp \right\|_{L^2(\Omega)} &= \left\| \int_{\eta(\tan \theta)^{-1}}^1 e^{t(\mu \pm i\eta)}(\mu \pm i\eta)W(\mu \pm i\eta)d\mu \right\|_{L^2(\Omega)} \\ &\leq C e^t (1 - \eta(\tan \theta)^{-1}) \eta^{-2+\alpha_M-\alpha_0} \|u_0\|_{L^2(\Omega)} \end{aligned}$$

for some positive constant  $C$  depending only on  $\theta, \alpha_0, \alpha_M, \rho_0$  and  $\rho_M$ .

We turn now to estimating the right-hand side of (2.27). First, by performing the change of variable  $p = \varepsilon e^{i\beta}$  with  $\beta \in (-\theta, \theta)$  in the integral  $\int_{\gamma_0(\varepsilon, \theta)} e^{tp}pW(p)dp$ , we derive from (2.4) and (2.11) that

$$\begin{aligned} \left\| \int_{\gamma_0(\varepsilon, \theta)} e^{tp}pW(p)dp \right\|_{L^2(\Omega)} &\leq \rho_M \left( \int_{-\theta}^{\theta} C(\varepsilon, \beta) e^{t\varepsilon \cos \beta} d\beta \right) \varepsilon^{-(1+\alpha_M-\alpha_0)} \|u_0\|_{L^2(\Omega)} \\ &\leq C e^{t\varepsilon} \varepsilon^{-(1+2(\alpha_M-\alpha_0))} \|u_0\|_{L^2(\Omega)}, \quad t \in \mathbb{R}_+. \end{aligned} \quad (2.28)$$

Next, since (2.5)–(2.6) yield the existence of a positive constant  $C_\theta$  depending only on  $\alpha_0, \alpha_M, \rho_0, \rho_M$ , and  $\theta$ , such that the estimate  $C(r, \theta) \leq C_\theta$  holds uniformly in  $r \in (0, +\infty)$ . Then it follows from (2.4) that

$$\begin{aligned} \left\| \int_{\gamma_\pm(\varepsilon, \theta)} e^{tp}pW(p)dp \right\|_{L^2(\Omega)} &\leq \rho_M C_\theta \left( \int_\varepsilon^1 r^{-(2+\alpha_M-\alpha_0)} dr + \int_1^{+\infty} r^{-(2-(\alpha_M-\alpha_0))} dr \right) \|u_0\|_{L^2(\Omega)} \\ &\leq \frac{\rho_M C_\theta}{1 + \alpha_M - \alpha_0} \left( \varepsilon^{-(1+\alpha_M-\alpha_0)} + \frac{2}{1 - (\alpha_M - \alpha_0)} \right) \|u_0\|_{L^2(\Omega)}. \end{aligned} \quad (2.29)$$

Now, taking  $\varepsilon = t^{-1} \in (0, 1)$  in (2.28)–(2.29), we deduce from (1.5) and (2.27) that

$$\|y(t)\|_{L^2(\Omega)} \leq C t^{1+2(\alpha_M-\alpha_0)} \|u_0\|_{L^2(\Omega)}, \quad t \in (1, +\infty) \quad (2.30)$$

for some positive constant  $C$  depending only on  $\theta, \alpha_j$  and  $\rho_j$  for  $j = 0, M$ . Similarly, by choosing  $\varepsilon = 1/2$  in (2.28)–(2.29), we find that  $\|y(t)\|_{L^2(\Omega)} \leq C \|u_0\|_{L^2(\Omega)}$  for all  $t \in [0, 1]$ , where  $C \in (0, +\infty)$  is independent of  $t$ . Therefore we have  $t \mapsto \langle t \rangle^{-3} y(t) \in L^\infty(\mathbb{R}; L^2(\Omega))$ , and consequently  $y \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$  by (2.22). Moreover both functions  $p \mapsto \mathcal{L}[y](p)$  and  $p \mapsto pW(p)$  are holomorphic in  $\mathbb{C}_+$ , and (2.24) entails that  $\mathcal{L}[y](p) = pW(p)$  for all  $p \in \mathbb{C}_+$ , by the unique continuation. As a consequence,  $v := \partial_t y \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$  satisfies  $\mathcal{L}[v](p) = p^2 W(p) = (A_q + \rho(x)p^{\alpha(x)})^{-1} \rho(x)p^{\alpha(x)-1} u_0$  for every

$p \in \mathbb{C}_+$ , which shows that  $u := v|_Q$  is a weak solution to (1.4) associated with  $f = 0$ . Moreover, since  $u$  is unique, as can be seen from Definition 2.2, we are left with the task of establishing (1.7) in the case where  $f = 0$ , that is,

$$u(t) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp} (A_q + \rho(x)p^{\alpha(x)})^{-1} \rho(x)p^{\alpha(x)-1} u_0 dp, \quad t \in (0, T]. \quad (2.31)$$

This equality follows from Proposition 2.1 and the identity  $u = \partial_t y$  in  $(C_0^\infty)'(0, T; L^2(\Omega))$ . Indeed, for all  $p \in \gamma(\varepsilon, \theta)$ , the mapping  $t \mapsto e^{tp} p W(p)$  is continuously differentiable in  $(0, T)$ , and (2.4)–(2.6) yield the existence of a constant  $C = C(\alpha_0, \alpha_M, \rho_0, \rho_M, \theta) \in (0, +\infty)$  such that we have

$$\|e^{tp} p^2 W(p)\|_{L^2(\Omega)} \leq C e^{tr \cos \theta} \max_{\sigma=\pm 1} r^{-1+\sigma(\alpha_M-\alpha_0)} \|u_0\|_{L^2(\Omega)}, \quad p = r e^{\pm i\theta}, \quad r \in (\varepsilon, +\infty).$$

Moreover, by  $\cos \theta \in (-1, 0)$ , we see that  $r \mapsto e^{tr \cos \theta} \max_{\sigma=\pm 1} r^{-1+\sigma(\alpha_M-\alpha_0)} \in L^1(\varepsilon, +\infty)$  for each  $t \in (0, T]$ , and so the integral  $\int_{\gamma(\varepsilon, \theta)} e^{tp} p^2 W(p) dp$  is well-defined. Therefore we obtain (2.31) by this and  $u \in C((0, T]; L^2(\Omega))$ . Moreover, combining (2.31) with arguments similar to Lemma 3.2 below (see Subsection 3.2), we deduce that  $u : (0, T) \rightarrow L^2(\Omega)$  is analytic.

2) We turn now to establishing (1.7) in the case where  $u_0 = 0$ . To this purpose, we introduce the following family of operators acting in  $L^2(\Omega)$ ,

$$\widetilde{W}(p) := p^{-2} (A_q + \rho(x)p^{\alpha(x)})^{-1}, \quad p \in \mathbb{C} \setminus \mathbb{R}_-.$$

For any  $\mu \in [1, +\infty)$  and  $\eta \in \mathbb{R}$ , it follows from (2.4) and (2.11) that  $\|\widetilde{W}(\mu + i\eta)\|_{\mathcal{B}(L^2(\Omega))}$  is majorized by  $\langle \eta \rangle^{-2+\alpha_M-2\alpha_0}$  up to some multiplicative constant that is independent of  $\eta$  and  $\mu$ . Therefore we have

$$\sup_{\mu \in [1, +\infty)} \int_{\mathbb{R}} \|\widetilde{W}(\mu + i\eta)\|_{\mathcal{B}(L^2(\Omega))}^k d\eta < \infty, \quad k = 1, 2.$$

Thus, by arguing exactly in the same way as in the first part of the proof, we see that

$$S_1(t) := \frac{1}{2i\pi} \int_{1-i\infty}^{1+i\infty} e^{tp} p \widetilde{W}(p) dp, \quad t \in \mathbb{R} \quad (2.32)$$

lies in  $\mathcal{S}'(\mathbb{R}_+; \mathcal{B}(L^2(\Omega)))$ ,

$$t \mapsto \langle t \rangle^{-\alpha_M} S_1(t) \in L^\infty(\mathbb{R}; \mathcal{B}(L^2(\Omega))) \quad (2.33)$$

and

$$\mathcal{L}[S_1 \psi](p) = p \widetilde{W}(p) \psi, \quad p \in \mathbb{C}_+, \quad \psi \in L^2(\Omega). \quad (2.34)$$

By  $\tilde{f}$  we denote the extension of a function  $f$  by 0 on  $(\mathbb{R} \times \Omega) \setminus ((0, T) \times \Omega)$ . We recall that there exists  $\zeta \in \mathbb{R}_+$  such that

$$\langle t \rangle^{-\zeta} \tilde{f} \in L^\infty(\mathbb{R}; L^2(\Omega)), \quad (2.35)$$

and consider the convolution of  $S_1$  with  $\tilde{f}$ , that is,

$$(S_1 * \tilde{f})(t, x) = \int_0^t S_1(t-s) f(s, x) 1_{(0, T)}(s) ds, \quad (t, x) \in \mathbb{R} \times \Omega.$$

Evidently,  $(S_1 * \tilde{f})(t) = 0$  for all  $t \in \mathbb{R}_-$ , and we infer from (2.33) and (2.35) that

$$\left\| (S_1 * \tilde{f})(t) \right\|_{L^2(\Omega)} \leq \left\| \langle t \rangle^{-\alpha_M} S_1 \right\|_{L^\infty(\mathbb{R}_+; \mathcal{B}(L^2(\Omega)))} \left\| \langle t \rangle^{-\zeta} f \right\|_{L^\infty(\mathbb{R}_+; L^2(\Omega))} \langle t \rangle^{1+\alpha_M+\zeta}, \quad t \in \mathbb{R}_+. \quad (2.36)$$

Therefore  $t \mapsto \langle t \rangle^{-(1+\alpha_M+\zeta)}(S_1 * \tilde{f})(t) \in L^\infty(\mathbb{R}; L^2(\Omega))$ , and consequently  $S_1 * \tilde{f} \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$ .

Moreover, again by (2.33) and (2.35), we see that

$$\inf\{\varepsilon \in \mathbb{R}_+; e^{-\varepsilon t} S_1 \in L^1(\mathbb{R}; \mathcal{B}(L^2(\Omega)))\} = \inf\{\varepsilon \in \mathbb{R}_+; e^{-\varepsilon t} \tilde{f} \in L^1(\mathbb{R}; L^2(\Omega))\} = 0,$$

which s

$$\mathcal{L}[S_1 * \tilde{f}](p) = \mathcal{L}[S_1](p)\mathcal{L}[\tilde{f}](p) = \mathcal{L}[S_1](p)F(p), \quad p \in \mathbb{C}_+,$$

with  $\mathcal{L}[S_1](p) = \int_0^{+\infty} S_1(t)e^{-pt} dt$  and  $\mathcal{L}[\tilde{f}](p) = \int_0^{+\infty} \tilde{f}(t)e^{-pt} dt$ . Thus, setting  $\tilde{v} := \partial_t(S_1 * \tilde{f}) \in \mathcal{S}'(\mathbb{R}_+; L^2(\Omega))$ , we derive from (2.34) that

$$\mathcal{L}[\tilde{v}](p) = p\mathcal{L}[S_1 * \tilde{f}](p) = p\mathcal{L}[S_1](p)F(p) = (A_q + \rho(x)p^{\alpha(x)})^{-1}F(p), \quad p \in \mathbb{C}_+.$$

It remains to show that

$$\tilde{v}(t) = \int_0^t S_1(t-\tau)\tilde{f}(\tau)d\tau + S_2\tilde{f}(t), \quad t \in [0, T]. \quad (2.37)$$

This can be done with the aid of (2.32), yielding

$$(S_1 * \tilde{f})(t) = \frac{1}{2i\pi} \int_0^t \int_{1-i\infty}^{1+i\infty} e^{(t-s)p} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) dp ds, \quad t \in \mathbb{R}_+.$$

Indeed, we notice with a slight adaptation of the reasoning used in the derivation of (2.27) that the integral  $\int_{1-i\infty}^{1+i\infty} e^{(t-s)p} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) dp$  can be replaced on the right-hand side of the above identity by  $\int_{\gamma(\varepsilon, \theta)} e^{(t-s)p} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) dp$  associated with any  $\varepsilon \in (0, 1)$  and  $\theta \in (\frac{\pi}{2}, \pi)$ . Therefore we have

$$(S_1 * \tilde{f})(t) = \frac{1}{2i\pi} \int_0^t \int_{\gamma(\varepsilon, \theta)} e^{(t-s)p} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) dp ds.$$

Hence, by (2.4), (2.11) and (2.35), we infer from the Fubini theorem that

$$(S_1 * \tilde{f})(t) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} g_q(t, p) dp, \quad t \in \mathbb{R}_+$$

with

$$g_q(t, p) := \int_0^t e^{(t-s)p} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) ds, \quad p \in \gamma(\varepsilon, \theta). \quad (2.38)$$

Therefore, for all  $t \in \mathbb{R}_+$  and all  $p \in \gamma(\varepsilon, \theta)$ , we have

$$\partial_t g_q(t, p) = \int_0^t e^{(t-s)p} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) ds + p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(t),$$

and consequently

$$\left\| \partial_t g_q(t, p) \right\|_{L^2(\Omega)} \leq \left\| (A_q + \rho(x)p^{\alpha(x)})^{-1} \right\|_{\mathcal{B}(L^2(\Omega))} \left( \int_0^t e^{s\Re p} ds + |p|^{-1} \right) \left\| \tilde{f} \right\|_{L^\infty(0, t+1; L^2(\Omega))}.$$

From this and (2.4)–(2.6), it follows that

$$\|\partial_t g_q(t, p)\|_{L^2(\Omega)} \leq \rho_0^{-1} \max(2, c_*(\theta)) (1 + |\cos \theta|^{-1}) |p|^{-(1+\alpha_0)} \left\| \tilde{f} \right\|_{L^\infty(0, t+1; L^2(\Omega))}.$$

As a consequence, the mapping  $p \mapsto \partial_t g_q(t, p) \in L^1(\gamma(\varepsilon, \theta); L^2(\Omega))$  for any fixed  $t \in \mathbb{R}_+$  and  $\tilde{v}(t) = \partial_t[S_1 * \tilde{f}](t) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} \partial_t g_q(t, p) dp$ , or equivalently

$$\tilde{v}(t) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} \left( \int_0^t e^{(t-s)p} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(s) ds + p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \tilde{f}(t) \right) dp$$

in virtue of (2.38). Now, applying the Fubini theorem to the right-hand side of the above identity, we obtain (2.37). This establishes that the restriction to  $Q$  of the function expressed by the right-hand side of (2.37), is a weak solution to (1.4) associated with  $u_0 = 0$ . Evidently such a function lies in  $\mathcal{C}([0, T]; L^2(\Omega))$ . Moreover it is unique from Definition 2.2.

Finally, by superposition, the desired result follows readily from 1) and 2).

**2.4. Proof of Remark 1.** We use the notations of Section 2.3. For  $\varepsilon \in (0, 1)$ ,  $\theta \in (\frac{\pi}{2}, \pi)$  and  $R \in [1, +\infty)$ , we introduce  $\gamma_R(\varepsilon, \theta) := \{z \in \gamma(\varepsilon, \theta); |z| \in [0, R]\}$  and put  $\mathcal{C}_R(\theta) := \{z \in \mathbb{C}; z = Re^{i\beta}, \beta \in [-\theta, \theta]\}$ . In light of Proposition 2.1, the Cauchy formula yields

$$\int_{\gamma_R(\varepsilon, \theta) \cup \mathcal{C}_R(\theta)^-} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \psi dp = 0, \quad \psi \in L^2(\Omega),$$

where the notation  $\mathcal{C}_R(\theta)^-$  stands for the counterclockwise oriented path  $\mathcal{C}_R(\theta)$ . Thus, by letting  $R$  to  $+\infty$  in the above identity, we obtain

$$S_2 \psi = \lim_{R \rightarrow +\infty} \int_{\mathcal{C}_R(\theta)} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} \psi dp, \quad \psi \in L^2(\Omega) \quad (2.39)$$

from the definition of  $S_2$ . Furthermore, for any  $R \in [1, +\infty)$ , from (2.4) and (2.11), we have

$$\left\| \int_{\mathcal{C}_R(\theta)} p^{-1} (A_q + \rho(x)p^{\alpha(x)})^{-1} dp \right\|_{\mathcal{B}(L^2(\Omega))} \leq CR^{\alpha_M - 2\alpha_0}, \quad (2.40)$$

where  $C$  is a positive constant which is independent of  $R$ . Since  $\alpha_M - 2\alpha_0$  is negative by the assumption, we have  $S_2 \psi = 0$  for any  $\psi \in L^2(\Omega)$  directly from (2.39)–(2.40). Finally (1.8) follows readily from this and (1.7).

### 3. ANALYSIS OF THE INVERSE PROBLEM

In this section, we suppose that  $\partial\Omega$  is  $\mathcal{C}^{1,1}$  and (1.9) holds, that is,  $A_0 = -\Delta$  and

$$D(A_0) = H_0^1(\Omega) \cap H^2(\Omega).$$

We recall for further use that the norm in  $H^2(\Omega)$  is equivalent to the norm in  $D(A_0)$  or in  $D(A_q)$ .

First we prove that the boundary operator  $\mathcal{N}_{\alpha, \rho, q}(t)$  expressed by (1.11), is well-defined for all  $t \in (0, T]$ .

**3.1. Definition of the boundary operator.** By (1.11) and the continuity of the trace operator  $\varphi \mapsto \partial_\nu \varphi$  from  $H^2(\Omega)$  into  $L^2(\partial\Omega)$ , it suffices to prove the following well-posedness for the initial-boundary value problem (1.10).

**Proposition 3.1.** *Let  $\alpha$ ,  $\rho$  and  $q$  be the same as in Theorem 1.1. Then, for all  $g \in H^{3/2}(\partial\Omega)$ , there exists a unique weak solution in  $\mathcal{C}([0, +\infty); H^2(\Omega))$  to (1.10).*

*Proof.* Let  $G \in H^2(\Omega)$  satisfy  $G = g$  on  $\partial\Omega$ . Then we notice that  $u = u_g$  is a solution to (1.10) if and only if the function  $v(t, x) := u(t, x) - t^k G(x)$  is a solution to the system

$$\begin{cases} (\rho(x)\partial_t^{\alpha(x)} + \mathcal{A}_q)v(t, x) = f(t, x), & (t, x) \in (0, +\infty) \times \Omega, \\ v(t, x) = 0, & (t, x) \in (0, +\infty) \times \partial\Omega, \\ v(0, x) = 0, & x \in \Omega, \end{cases} \quad (3.1)$$

where  $f(t, x) := -(\rho(x)\partial_t^{\alpha(x)} t^k + t^k \mathcal{A}_q) G(x)$ .

Furthermore, since  $f \in \mathcal{C}((0, +\infty); L^2(\Omega))$  and  $(1+t)^{-k-1}f \in L^\infty(0, +\infty; L^2(\Omega))$ , the initial-boundary value problem (3.1) admits a unique weak solution  $v \in \mathcal{C}((0, +\infty); L^2(\Omega))$  according to Theorem 1.1. Let us now prove that  $v \in \mathcal{C}([0, +\infty); H^2(\Omega))$ . For this purpose, we infer from the basic identity  $\mathcal{L}[t^k](p) := \int_0^{+\infty} t^k e^{-pt} dt = \frac{k!}{p^{k+1}}$  that

$$F(p, x) := \mathcal{L}[f(\cdot, x)](p) = -\frac{k!}{p^{k+1}} (\mathcal{A}_q + \rho(x)p^{\alpha(x)}) G(x), \quad (p, x) \in \mathbb{C}_+ \times \Omega.$$

Next, upon extending the expression of the right-hand side of the above equality to all  $p \in \mathbb{C} \setminus \mathbb{R}_-$ , we obtain from the first equation of (3.1) that  $V := \mathcal{L}[v]$  reads

$$V(p, x) = -\frac{k!}{p^{k+1}} (A_q + \rho(x)p^{\alpha(x)})^{-1} (\mathcal{A}_q + \rho(x)p^{\alpha(x)}) G(x), \quad (p, x) \in (\mathbb{C} \setminus \mathbb{R}_-) \times \Omega. \quad (3.2)$$

Therefore, arguing in the same way as in the proof of Theorem 1.1, we obtain for any fixed  $\varepsilon \in (0, 1)$  and  $\theta \in (\pi/2, \pi)$  that

$$v(t, x) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp} V(p, x) dp, \quad (t, x) \in [0, +\infty) \times \Omega. \quad (3.3)$$

On the other hand, (3.2) and Proposition 2.1 yield that  $V(p, \cdot) \in D(A_q)$  for all  $p \in \mathbb{C} \setminus \mathbb{R}_-$  with

$$A_q V(p, x) = \frac{k!}{p^{k+1}} (\rho(x)p^{\alpha(x)}(A_q + \rho(x)p^{\alpha(x)})^{-1} - I) (\rho(x)p^{\alpha(x)} + \mathcal{A}_q) G(x), \quad x \in \Omega. \quad (3.4)$$

Here the symbol  $I$  stands for the identity operator in  $L^2(\Omega)$ . Applying (2.4)-(2.5), we deduce from (3.4) that

$$\begin{aligned} \|A_q V(re^{\pm i\theta})\|_{L^2(\Omega)} &\leq Cr^{-(k+1)} \max(r^{2\alpha_M - \alpha_0}, r^{2\alpha_0 - \alpha_M}) \\ &\leq C\varepsilon^{-3(\alpha_M - \alpha_0)} r^{2\alpha_M - \alpha_0 - k - 1} \\ &\leq C\varepsilon^{-3(\alpha_M - \alpha_0)} r^{1 - k - \alpha_0}, \quad r \in [\varepsilon, +\infty) \end{aligned} \quad (3.5)$$

with some positive constant  $C = C(\theta, M, \|g\|_{H^{3/2}(\partial\Omega)}, \|q\|_{L^\infty(\Omega)}, \alpha_0, \alpha_M, \rho_0, \rho_M)$  which is independent of  $\varepsilon$ . Therefore we have  $r \mapsto A_q V(re^{\pm i\theta}, \cdot) \in L^1(\varepsilon, +\infty; L^2(\Omega))$  and hence  $r \mapsto V(re^{\pm i\theta}, \cdot) \in L^1(\varepsilon, +\infty; D(A_q))$ . From this and (3.3), it follows that  $v(t, \cdot) \in D(A_q)$  for all  $t \in [0, +\infty)$  with

$$A_q v(t, \cdot) = \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{tp} A_q V(p) dp, \quad (3.6)$$

proving that  $A_q v \in \mathcal{C}([0, +\infty); L^2(\Omega))$ . As a consequence, we have  $v \in \mathcal{C}([0, +\infty); D(A_q))$  and the desired result follows immediately from this and the identity  $D(A_q) = H_0^1(\Omega) \cap H^2(\Omega)$ .  $\square$

**3.2. Proof of Theorem 1.2.** The proof of Theorem 1.2 is by means of the analytic properties of the mapping  $t \mapsto \mathcal{N}_{\alpha, \rho, q}(t)$ , defined by (1.11), that are preliminarily established in the coming subsection.

**3.2.1. On the analyticity of the boundary operator.** We first introduce the following notations. Let  $X$  be a Hilbert space, and let  $\mathcal{O}$  be either a subinterval of  $\mathbb{R}$  or an open subset of  $\mathbb{C}$ . We denote by  $\mathcal{A}(\mathcal{O}; X)$  the space of  $X$ -valued functions that are analytic in  $\mathcal{O}$ .

**Lemma 3.2.** *Let  $g \in H^{3/2}(\partial\Omega)$  and let  $u$  be the solution in  $\mathcal{C}([0, +\infty); H^2(\Omega))$  to (1.10) associated with  $g$ , whose existence is guaranteed by Proposition 3.1. Then the mapping  $t \mapsto \partial_\nu u(t, \cdot)|_{\partial\Omega}$  lies in  $\mathcal{A}((0, +\infty); L^2(\partial\Omega))$ .*

*Proof.* By the definitions and the notations used in the proof of Proposition 3.1, the solution  $u$  to (1.10) reads  $u(t, x) = t^k G(x) + v(t, x)$  for a.e.  $(t, x) \in (0, +\infty) \times \Omega$ , where  $v \in \mathcal{C}([0, +\infty); H^2(\Omega))$  is a solution to (3.1). Since  $G \in H^2(\Omega)$ , it is apparent that  $t \mapsto t^k \partial_\nu G|_{\partial\Omega} \in \mathcal{A}((0, +\infty); L^2(\partial\Omega))$ . Therefore we are left with the task of showing that  $t \mapsto \partial_\nu v(t, \cdot)|_{\partial\Omega} \in \mathcal{A}((0, +\infty); L^2(\partial\Omega))$ . Since  $D(A_q) = H_0^1(\Omega) \cap H^2(\Omega)$  and the trace map  $w \mapsto \partial_\nu w|_{\partial\Omega}$  is continuous from  $H^2(\Omega)$  into  $L^2(\partial\Omega)$ , it is sufficient to prove that  $t \mapsto v(t, \cdot) \in \mathcal{A}((0, +\infty); D(A_q))$ .

For this purpose, we fix  $\theta_1 \in (0, \theta - \pi/2) \cap (0, \pi - \theta)$ , put  $\mathcal{O} := \{\tau e^{i\psi}; \tau \in (0, +\infty), \psi \in (-\theta_1, \theta_1)\}$ , and we extend  $v$  into a function of  $\mathcal{A}(\mathcal{O}; D(A_q))$ . This can be done with the help of (3.5)-(3.6) by noticing

$$|e^{zp}| = |e^{\tau r e^{i(\pm\theta + \psi)}}| = e^{\tau r \cos(\pm\theta + \psi)} \quad \text{for all } z = \tau e^{i\psi} \in \mathcal{O} \text{ and } p = r e^{\pm i\theta} \text{ with } r \in [\varepsilon, +\infty).$$

Indeed, since we have  $\theta + \psi \in (\theta - \theta_1, \theta + \theta_1) \subset (\pi/2, \pi)$  and  $-\theta + \psi \in (-\theta - \theta_1, -\theta + \theta_1) \subset (-\pi, -\pi/2)$ , it holds true that  $\cos(\pm\theta + \psi) \leq \cos(\theta - \theta_1)$  and

$$|e^{zp}| \leq e^{|z|r \cos(\theta - \theta_1)}, \quad z \in \mathcal{O}, \quad p = r e^{\pm i\theta}, \quad r \in [\varepsilon, +\infty). \quad (3.7)$$

Furthermore, since  $\cos(\theta - \theta_1) \in (-1, 0)$ , it follows from (3.5) and (3.7) that

$$\mathcal{W} : z \mapsto \frac{1}{2i\pi} \int_{\gamma(\varepsilon, \theta)} e^{zp} A_q V(p) dp$$

is well defined in  $\mathcal{O}$ . Moreover, for any compact subset  $K \subset \mathcal{O}$  in  $\mathbb{C}$ , we infer from (3.5) that

$$\left\| e^{z(re^{\pm i\theta})} A_q V(re^{\pm i\theta}) \right\|_{L^2(\Omega)} \leq C \varepsilon^{-3(\alpha_M - \alpha_0)} e^{\delta r \cos(\theta - \theta_1)} r^{1-k-\alpha_0}, \quad z \in K, \quad r \in [\varepsilon, +\infty),$$

where  $\delta := \inf\{|z|; z \in K\} > 0$  and  $C$  is the constant in (3.5). Next, as  $z \mapsto e^{z^p} A_q V(p) \in \mathcal{A}(\mathcal{O}; L^2(\Omega))$  for all  $p \in \{re^{\pm i\theta}; r \in [\varepsilon, +\infty)\}$ , this implies that  $\mathcal{W} \in \mathcal{A}(\mathcal{O}; L^2(\Omega))$ . Furthermore, since  $\mathcal{W}(t) = A_q v(t, \cdot)$  for all  $t \in (0, +\infty)$ , we obtain by (3.5) that

$$t \mapsto A_q v(t, \cdot) \in \mathcal{A}((0, +\infty); L^2(\Omega)). \quad (3.8)$$

Finally, arguing in the same way as above, we deduce from (3.3) that  $t \mapsto v(t, \cdot) \in \mathcal{A}((0, +\infty); L^2(\Omega))$ . This and (3.8) yield that  $t \mapsto v(t, \cdot) \in \mathcal{A}((0, +\infty); D(A_q))$ , which proves the result.  $\square$

In terms of Lemma 3.2, we can complete the proof of Theorem 1.2.

**3.2.2. Completion of the proof.** For  $j = 1, 2$ , we denote by  $u_j$  the weak solution to the initial-boundary value problem (1.10) associated with  $g \in \mathcal{H}_{\text{in}}$ ,  $(\alpha, \rho, q) = (\alpha_j, \rho_j, q_j)$ , and  $T = +\infty$ . The proof is divided into three steps. The first one is to establish that

$$\partial_\nu u_1(t, \cdot)|_{S_{\text{out}}} = \partial_\nu u_2(t, \cdot)|_{S_{\text{out}}}, \quad t \in (0, +\infty), \quad (3.9)$$

and the second one is to derive from (3.9) that the functions  $U_j := \mathcal{L}[u_j]$ ,  $j = 1, 2$ , verify

$$\partial_\nu U_1(p, \cdot)|_{S_{\text{out}}} = \partial_\nu U_2(p, \cdot)|_{S_{\text{out}}}, \quad p \in (0, +\infty). \quad (3.10)$$

The third step corresponds to the end of the proof, which is by means of the existing results for the Calderón problem with partial Cauchy data.

**Step 1.** Put  $h(t, x) := \partial_\nu u_1(t, x) - \partial_\nu u_2(t, x)$  for  $(t, x) \in (0, +\infty) \times S_{\text{out}}$ . We recall from Lemma 3.2 that  $h \in \mathcal{A}((0, +\infty); L^2(S_{\text{out}}))$ , and from (1.13) that

$$h(t_n) = 0, \quad n \in \mathbb{N}.$$

Therefore, by (1.12), the set of the zeros of the analytic function  $h$  has accumulation point in  $(0, +\infty)$ , so that identically vanishes, and (3.9) follows.

**Step 2.** For  $j = 1, 2$ , let  $v_j$  denote the solution to (3.1) where  $(\alpha_j, \rho_j, q_j)$  is substituted into  $(\alpha, \rho, q)$  such that

$$u_j(t, x) = t^k G(x) + v_j(t, x), \quad (t, x) \in Q. \quad (3.11)$$

Furthermore, putting  $V_j := \mathcal{L}v_j$ , we deduce from (3.2) and (3.5) that

$$\begin{aligned} \left\| \int_{\gamma_\pm(\varepsilon, \theta)} e^{tp} A_{q_j} V_j(p) dp \right\|_{L^2(\Omega)} &\leq C \varepsilon^{-3(\alpha_M - \alpha_0)} \int_\varepsilon^{+\infty} r^{1-k-\alpha_0} dr \\ &\leq \frac{C}{k + \alpha_0 - 2} \varepsilon^{2-k-\alpha_0-3(\alpha_M - \alpha_0)}, \end{aligned} \quad (3.12)$$

where the constant  $C$  is the same as in (3.5). Similarly, by Lemma 2.1, we infer from (2.11) and (3.4) that

$$\begin{aligned} \left\| \int_{\gamma_0(\varepsilon, \theta)} e^{tp} A_{q_j} V_j(p) dp \right\|_{L^2(\Omega)} &\leq C \varepsilon^{-(k+\alpha_M)} \left( \int_{-\theta}^{\theta} e^{t\varepsilon \cos \beta} C_\beta d\beta \right) \\ &\leq C e^{t\varepsilon} \varepsilon^{-(k+2\alpha_M-\alpha_0)}, \end{aligned} \quad (3.13)$$

where another constant  $C > 0$  is independent of  $\varepsilon$ . Thus, for all  $t \in (1, +\infty)$ , by taking  $\varepsilon = t^{-1}$  in (3.12)-(3.13) we see that  $\left\| \int_{\gamma(\varepsilon, \theta)} e^{tp} A_{q_j} V_j(p) dp \right\|_{L^2(\Omega)}$  is upper bounded by  $t^{k+2\alpha_0-\alpha_M}$  up to some positive constant  $C_j$ , which is independent of  $t$ . In light of (3.6), this entails that  $\|v_j(t, \cdot)\|_{H^2(\Omega)} \leq C_j t^{k+2\alpha_0-\alpha_M}$  for every  $t \in (1, +\infty)$ . Therefore, by (3.11) we have

$$\|u_j(t, \cdot)\|_{H^2(\Omega)} \leq C_j t^{k+2\alpha_0}, \quad t \in (1, +\infty).$$

Moreover, since  $v_j \in L^\infty(0, 1; H^2(\Omega))$  in virtue of Lemma 3.2, and hence  $u_j \in L^\infty(0, 1; H^2(\Omega))$  by (3.11), we obtain that  $t \mapsto e^{-pt} u_j(t, \cdot) \in L^1(0, +\infty; H^2(\Omega))$  for all  $p \in \mathbb{C}_+$ . This and the continuity of the trace map  $v \mapsto \partial_\nu v|_{\partial\Omega}$  from  $H^2(\Omega)$  into  $L^2(\partial\Omega)$ , yield that

$$\mathcal{L}[\partial_\nu u_j](p) = \partial_\nu U_j(p), \quad j = 1, 2, \quad p \in \mathbb{C}_+.$$

Now (3.10) follows from this and (3.9).

**Step 3.** We can complete the proof by [19, Theorem 7] (see also [18]) when  $d = 2$  and [21, Theorem 1.2] when  $d \geq 3$ .

**Theorem 3.3.** *Assume that  $\partial\Omega$  is smooth and that  $\Omega$  is connected. For*

$$V \in \mathcal{V} := \{q \in L^\infty(\Omega); 0 \text{ lies in the resolvent set of } A_q\},$$

let  $\Lambda_V$  be the partial Dirichlet-to-Neumann map  $\mathcal{H}_{\text{in}} \ni \varphi \mapsto \partial_\nu w|_{S_{\text{out}}}$ , where  $w$  is the solution to

$$\begin{cases} -\Delta w + V(x)w = 0, & x \in \Omega, \\ w(x) = \varphi(x), & x \in \partial\Omega. \end{cases} \quad (3.14)$$

For  $j = 1, 2$ , pick  $V_j$  in  $\mathcal{V} \cap W^{1,r}(\Omega)$  with  $r \in (2, +\infty)$ , if  $d = 2$ , and in  $\mathcal{V}$  if  $d \geq 3$ . Then

$$\Lambda_{V_1} = \Lambda_{V_2} \text{ yields } V_1 = V_2. \quad (3.15)$$

It is clear for all  $p \in (0, +\infty)$  that  $\tilde{U}_j(p) := \frac{p^{k+1}}{k!} U_j(p)$ ,  $j = 1, 2$ , is a solution to (3.14) associated with  $V = q_j + \rho_j p^{\alpha_j}$  and  $\varphi = g$ . As a consequence, we have

$$\Lambda_{q_1 + \rho_1 p^{\alpha_1}} g = \Lambda_{q_2 + \rho_2 p^{\alpha_2}} g, \quad p \in (0, +\infty)$$

by (3.10), and since  $g$  is arbitrary in  $\mathcal{H}_{\text{in}}$ , this immediately entails that

$$\Lambda_{q_1 + \rho_1 p^{\alpha_1}} = \Lambda_{q_2 + \rho_2 p^{\alpha_2}}, \quad p \in (0, +\infty). \quad (3.16)$$

Moreover, from the definition of  $\mathcal{E}_d$ , for every  $p \in (0, +\infty)$ , we have  $q_j + \rho_j p^{\alpha_j} \in W^{1,r}(\Omega)$  with  $r \in (2, +\infty)$  if  $d = 2$ , and  $q_j + \rho_j p^{\alpha_j} \in L^\infty(\Omega)$  if  $d \geq 3$ . Therefore, applying (3.15) with  $V_j = q_j + \rho_j p^{\alpha_j}$ , we infer from (3.16) that

$$q_1 + \rho_1 p^{\alpha_1} = q_2 + \rho_2 p^{\alpha_2}, \quad p \in (0, +\infty). \quad (3.17)$$

Letting  $p$  to zero in (3.17), we see that  $q_1 = q_2$ . Thus, taking  $p = 1$  in (3.17), we obtain that  $\rho_1 = \rho_2$ . Finally, applying (3.17) with  $p = e$ , we find that  $e^{\alpha_1} = e^{\alpha_2}$ , which yields that  $\alpha_1 = \alpha_2$ .

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